June 13, 1996

EPA-SAB-CASAC-LTR-96-008

Honorable Carol M. Browner Administrator U.S. Environmental Protection Agency 401 M. Street SW Washington, DC 20460

Subject: Closure by the Clean Air Scientific Advisory Committee (CASAC) on the

Staff Paper for Particulate Matter

Dear Ms. Browner:

The Clean Air Scientific Advisory Committee (CASAC) of EPA's Science Advisory Board (SAB) has held a series of public meetings during its peer review of the Agency's draft documents which will form part of the basis for your decision regarding the National Ambient Air Quality Standards (NAAQS) for Particulate Matter (PM). The Committee has held public meetings on December 12-13, 1994 (planning and introductory issues); August 3-4, 1995 (review of the initial draft Criteria Document); December 14-15, 1995 (review of the revised draft Criteria Document and the first draft of the Staff Paper); February 29, 1996 (review of the revised draft Criteria Document specified chapters only, and the Office of Air Quality Planning and Standards (OAQPS) Risk Assessment Plan); and May 16-17, 1996 (review of the revised draft Staff Paper). The primary Agency draft documents that we have reviewed are the: a) Air Quality Criteria for Particulate Matter (the "Criteria Document" prepared by the National Center for Environmental Assessment - Research Triangle Park, NC - ORD), b) Review of the National Ambient Air Quality Standards for Particulate Matter: Policy Assessment of Scientific and Technical Information (the "Staff Paper" prepared by the Office of Air Quality Planning and Standards - Research Triangle Park, NC - OAR), and c) A Particulate Matter Risk Analysis for Philadelphia and Los Angeles (draft), 1996, Prepared by Abt Associates for US EPA.

As part of our review process, we have kept you informed of our findings through three letter reports: a) Clean Air Scientific Advisory Committee (CASAC) Comments on the April 1995 draft Air Quality Criteria for Particulate Matter (EPA-SAB-CASAC-LTR-95-005; August 30, 1995); b) Clean Air Scientific Advisory Committee (CASAC) Comments on the November, 1995 Drafts of the Air Quality Criteria for Particulate

Matter and the Review of the National Ambient Air Quality Standards for Particulate Matter: Policy Assessment of Scientific and Technical Information (OAQPS Staff Paper), (EPA-SAB-CASAC-LTR-96-003, January 5, 1996), and c) Closure by the Clean Air Scientific Advisory Committee (CASAC) on the draft Air Quality Criteria for Particulate Matter (EPA-SAB-CASAC-LTR-96-005, March 15, 1996).

The Clean Air Scientific Advisory Committee, supplemented by a number of expert Consultants (hereinafter referred to as the "Panel"), reviewed a first draft of the Staff Paper for Particulate Matter at the December 14 and 15, 1995 meeting in Chapel Hill, NC. At that meeting and in subsequent written comments by individual members which were provided to EPA Staff, the Panel made numerous recommendations for improving the draft document. The Panel met again on May 16, 1996 in Chapel Hill, NC and on May 17, 1996 in Research Triangle Park, NC to review a revised draft of the Staff Paper and the recommendations contained within the Staff Paper for the level and form of the proposed PM NAAQS. This letter is a summary of our findings and conclusions from that meeting.

It was the consensus of the Panel that although our understanding of the health effects of PM is far from complete, the Staff Paper, when revised, will provide an adequate summary of our present understanding of the scientific basis for making regulatory decisions concerning PM standards. Seventeen of the twenty-one Panel members voted for closure. There were two no votes, one abstention, and one absence. However, most of the members who voted for closure did so under the assumption that the Agency would make significant changes to the next version of the Staff Paper which is due by July 15, 1996 (a court ordered mandate). The desired changes have been articulated to your staff at the meeting and subsequently in writing.

The Panel endorses the EPA Staff's recommendation not to establish a separate secondary PM NAAQS for regulating regional haze and agrees that there is an inadequate basis for establishing a secondary NAAQS to reduce soiling and material damage effects.

The attached table (Table I) summarizes the Panel members' recommendations concerning the form and levels of the primary standards. Although some Panel members prefer to have a direct measurement of coarse mode PM ($PM_{10-2.5}$) rather than using PM_{10} as a surrogate for it, there is a consensus that retaining an annual PM_{10} NAAQS at the current level is reasonable at this time. A majority of the members recommend keeping the present 24-hour PM_{10} NAAQS, at least as an option for the Administrator to consider, although those commenting on the form of the standard strongly recommended that the form be changed to one that is more robust than the current standard. There was also a consensus that a new $PM_{2.5}$ NAAQS be established, with nineteen Panel members endorsing the concept of a 24-hour and/or an annual $PM_{2.5}$ NAAQS. The remaining two Panel members did not think any $PM_{2.5}$

NAAQS was justified. However, as indicated in Table I, there was no consensus on the level, averaging time, or form of a $PM_{2.5}$ NAAQS. At first examination of Table I, the diversity of opinion is obvious and appears to defy further characterization. However, the opinions expressed by those endorsing new $PM_{2.5}$ NAAQS can be classified into three broad categories. Four Panel members supported specific ranges or levels within or toward the lower end of the staff's recommended ranges. Seven Panel members supported specific ranges or levels near, at, or above the upper end of staff's recommended ranges. Eight other Panel members declined to select a specific range or level, but most had comments which appear as footnotes in Table I.

A number of Panel members based their support for a $PM_{2.5}$ NAAQS on the following reasoning: there is strong consistency and coherence of information indicating that high concentrations of urban air pollution adversely affect human health, there are already NAAQS that deal with all the major components of that pollution except $PM_{2.5}$, and there are strong reasons to believe that $PM_{2.5}$ is at least as important as $PM_{10-2.5}$ in producing adverse health effects.

Part of this diversity of opinion can be attributed to the accelerated review schedule. While your staff is to be highly commended for producing such quality documents in such a short period of time, the deadlines did not allow adequate time to analyze, integrate, interpret, and debate the available data on this very complex issue. Nor does a court-ordered schedule recognize that achieving the goal of a scientifically defensible NAAQS for PM may require iterative steps to be taken in which new data are acquired to fill obvious and critical voids in our knowledge. The previous PM NAAQS review took eight years to complete.

The diversity of opinion also reflects the many unanswered questions and uncertainties associated with establishing causality of the association between $PM_{2.5}$ and mortality. The Panel members who recommended the most stringent $PM_{2.5}$ NAAQS, similar to the lower part of the ranges recommended by the Staff, did so because they concluded that the consistency and coherence of the epidemiology studies made a compelling case for causality of this association. However, the remaining Panel members were influenced, to varying degrees by the many unanswered questions and uncertainties regarding the issue of causality. The concerns include: exposure misclassification, measurement error, the influence of confounders, the shape of the dose-response function, the use of a national $PM_{2.5}/PM_{10}$ ratio to estimate local $PM_{2.5}$ concentrations, the fraction of the daily mortality that is advanced by a few days because of pollution, the lack of an understanding of toxicological mechanisms, and the existence of possible alternative explanations.

In recommending that the staff carry out a risk assessment, it was the expectation of CASAC that the risk assessments would narrow the diversity of opinion by evaluating how all of the uncertainties propagate throughout the entire model.

However, not all of the uncertainties could be included and the combined effect of all of them could not be examined. The Panel recommended that additional analyses be conducted to present combined uncertainties. However, currently the risk assessments are of limited value in narrowing the diversity of opinion within the Panel.

The Panel is unanimous, however, in its desire to avoid being in a similar situation when the next PM NAAQS review cycle is under way by a future CASAC Panel. The Agency must immediately implement a targeted research program to address these unanswered questions and uncertainties. It is also essential that we obtain long-term PM_{2.5} measurements. CASAC is ready to assist the Agency in the development of a comprehensive research plan that will address the questions which need answers before the next PM review cycle is completed. We understand that your staff is preparing a PM research plan for our review later this summer. We look forward to providing our comments on this important matter.

CASAC recognizes that your statutory responsibility to set standards requires public health policy judgments in addition to determinations of a strictly scientific nature. While the Panel is willing to advise you further on the PM standard, we see no need, in view of the already extensive comments provided, to review any proposed PM standards prior to their publication in the Federal Register. In this instance, the public comment period will provide sufficient opportunity for the Panel to provide any additional comment or review that may be necessary.

Thank you for the opportunity to present the Panel's views on this important public health issue. We look forward to your response to the advice contained in this letter.

Sincerely,

Stronge T. Waff
Dr. George T. Wolff, Chail

Clean Air Scientific Advisory Committee

TABLE I Summary of CASAC Panel Members Recommendations (all units µg/m³)

		PM _{2.5} 24-hr	PM _{2.5} Annual	PM ₁₀ 24-hr	PM₁₀ Annual
Current NAAQS		N/A	N/A	150	50
EPA Staff Recommendation		18 - 65	12.5 - 20	150 ¹³	40 - 50
	+				·
Name	Discipline				
Ayres	M.D.	yes ²	yes ²	150	50
Hopke	Atmos. Sci.	20 - 50 ³	20 - 30	no	40 -50 ⁴
Jacobson	Plant Biologist	yes ²	yes ²	150	50
Koutrakis	Atmos. Sci.	yes ^{2,5,6}	yes ^{2,5,6}	no	yes ⁴
Larntz	Statistician	no	25-30 ⁷	no	yes ²
Legge	Plant Biologist	≥ 75	no	150	40 - 50
Lippmann	Health Expert	20 - 50 ³	15 - 20	no	40 - 50
Mauderly	Toxicologist	50	20	150	50
McClellan	Toxicologist	no ⁸	no ⁸	150	50
Menzel	Toxicologist	no	no	150	50
Middleton	Atmos. Sci.	yes ^{2,3,12}	yes ^{2,5}	150 ^{3,13}	50
Pierson	Atmos. Sci.	yes ^{2,9}	yes ^{2,9}	yes ⁴	yes ⁴
Price	Atmos. Sci./ State Official	yes ^{3,10}	yes ¹⁰	no ^{3,4}	yes ⁴
Shy	Epidemiologist	20 - 30	15 - 20	no	50
Samet ¹	Epidemiologist	yes ^{2,11}	no	150	yes ²
Seigneur	Atmos. Sci.	yes ^{3,5}	no	150 ¹³	50
Speizer ¹	Epidemiologist	20 - 50	no	no	40 - 50
Stolwijk	Epidemiologist	75 ⁷	25-30 ⁷	150	50
Utell	M.D.	≥65	no	150	50
White	Atmos. Sci.	no	20	150	50
Wolff	Atmos. Sci.	≥ 75 ^{3,7}	no	150 ³	50

not present at meeting; recommendations based on written comments

² declined to select a value or range

³ recommends a more robust 24-hr. form

perfers a PM_{10-2.5} standard rather than a PM₁₀ standard
 concerned upper range is too low based on national PM_{2.5}/PM₁₀ ratio
 leans towards high end of Staff recommended range

desires equivalent stringency as present PM₁₀ standards
 if EPA decides a PM_{2.5} NAAQS is required, the 24-hr. and annual standards should be 75 and 25 µg/m³, respectively with a robust form

⁹ yes, but decision not based on epidemiological studies

- 10 low end of EPA's proposed range is inappropriate; desires levels selected to include areas for which there is broad public and technical agreement that they have PM_{2.5} pollution problems
- only if EPA has confidence that reducing PM_{2.5} will indeed reduce the components of particles responsible for their adverse effects

¹² concerned lower end of range is oo close to background

the annual standard may be sufficient; 24-hr level recommended if 24-hour standard retained

NOTICE

This report has been written as part of the activities of the Science Advisory Board, a public advisory group providing extramural scientific information and advice to the Administrator and other officials of the Environmental Protection Agency. The Board is structured to provide balanced, expert assessment of scientific matters related to problems facing the Agency. This report has not been reviewed for approval by the Agency and, hence, the contents of this report do not necessarily represent the views and policies of the Environmental Protection Agency, nor of other agencies in the Executive Branch of the Federal government, nor does mention of trade names or commercial products constitute a recommendation for use.

U.S. Environmental Protection Agency Science Advisory Board Clean Air Scientific Advisory Committee Particulate Matter Review Panel

Chairman

Dr. George T. Wolff General Motors Environmental & Energy Staff Detroit, MI

Members

Dr. Stephen M. Ayres Office of International Programs Virginia Commonwealth University /Medical College of Virginia Richmond, VA

Dr. Phil Hopke
Department of Chemistry
Clarkson University
Pottsdam, NY

Dr. Jay S. Jacobson Boyce Thompson Institute Cornell University Ithaca, NY

Dr. Joe L. Mauderly
Inhalation Toxicology Research
Institute
Lovelace Biomedical & Environmental
Research Institute
Albuquerque, NM

Dr. James H. Price, Jr.
Texas Natural Resource Conservation
Commission
Austin, TX

Consultants

Dr. Petros Koutrakis Harvard School of Public Health Boston, MA Dr. Morton Lippmann
Institute of Environmental Medicine
New York University
Tuxedo, NY

Dr. Kinley Larntz
Department of Applied Statistics
University of Minnesota
St. Paul, MN

Dr. Allan Legge Biosphere Solutions Calgary, Alberta, Canada

Dr. Roger O. McClellan Chemical Industry Institute of Toxicology Research Triangle Park, NC

Dr. Daniel Menzel
Department of Community
and Environmental Medicine
University of California, Irvine
Irvine, CA

Dr. Paulette Middleton Science and Policy Associates Boulder, CO

Dr. William R. Pierson
Energy & Environmental Engineering
Center
Desert Research Institute
Reno, NV

Dr. Carl M. Shy
Department of Epidemiology
School of Public Health
University of North Carolina
Chapel Hill, NC

Dr. John Samet School of Hygiene & Public Health Johns Hopkins University Baltimore, MD

Dr. Christian Siegneur AER, Inc San Ramon, CA

Dr. Frank Speizer Harvard Medical School Channing Lab Boston, MA

Dr. Jan Stolwijk Yale University New Haven, CT Dr. Mark Utell Pulmonary Disease Unit University of Rochester Medical Center Rochester, NY

Dr. Warren White Washington University St. Louis, MO

Science Advisory Board Staff

Mr. A. Robert Flaak
Designated Federal Official
U.S. EPA
Science Advisory Board
Washington, DC

Ms. Dorothy Clark Staff Secretary U.S. EPA Science Advisory Board Washington, DC

DISTRIBUTION LIST

Administrator

Deputy Administrator

Assistant Administrators

Deputy Assistant Administrator for Science, ORD

Director, Office of Science Policy, ORD

Director, Office of Air Quality Planning and Standards, OAR

Director, National Center for Environmental Assessment, ORD, RTP, NC

EPA Regional Administrators

EPA Laboratory Directors

EPA Headquarters Library

EPA Regional Libraries

EPA Laboratory Libraries

Library of Congress

National Technical Information Service